KOWI-Bundestagung zur EU-Forschungsförderung

Halle, Germany 22-23 June 2016

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Presentation overview

- Horizon 2020: a new type of EU R&I programme
- Evaluation process
- How to deal with oversubscription
Horizon 2020 novelties

Horizon 2020: a new type of EU R&I programme

- New type of calls and proposals
- More emphasis on innovation
- Cross-cutting issues
- Impact of time to grant on evaluation
- No grant negotiation phase
- Reduction of time to grant (TTG) from submission of a proposal, evaluation and signature of the grant to a maximum of 8 months (max. 5 months for evaluation + rest GAP process to grant signature)
New types of calls and proposals

• Calls are challenge-based, and therefore more open to innovative proposals

• There is a greater emphasis on impact, in particular through each call or topic impact statements

• Proposals may bring together different disciplines, sectors and actors to tackle specific challenges

• There is more emphasis on innovation (assessment under each evaluation criterion)
Expert selection:

- 25% of newcomers (experts who have not been contracted as an evaluator in year N-1, N-2 and N-3)
- 120-day ceiling experts
- High level of skills, experience and knowledge in all areas of the call
- There should be sufficient SSH experts, interdisciplinary experts as well as gender specialists
- Geographical diversity and gender (with at least 40% of members of each sex)
- A private/public sector balance
Remote Evaluation

- Individual assessment
- Up to a 100% full remote consensus

Finalisation - Cross-reading

CR/ESR

- Experts invited to topics will cross-read the proposals to be discussed

Panel Meetings

- Final ranked list by topic/call

Evaluation Process

Call deadline

Eligibility check of proposals submitted

Time to inform max: 5 months:

Info to applicants: start of GAP

Signature of GA within 3 months
Evaluation Process

Proposal

- Expert
- Expert
- Expert
- Expert
- Expert

Individual Evaluation Report

- Individual Evaluation Report
- Individual Evaluation Report

Consensus group

- Consensus Report

Draft Evaluation Summary Report

Eligible proposal

Minimum 3 experts ... but can be more

Individual evaluation

Consensus
Evaluation summary report (ESR)

- Evaluation of the proposal as submitted, not on its potential for certain improvements
- Reflect any identified shortcoming (except minor ones) in lower scores. For significant shortcomings the proposal must receive a below-threshold score for the criterion concerned
- Comments explain the shortcomings that justify lower scores
- No recommendations (no negotiation approach)
How to deal with Oversubscription

Examples:

• Limited inhouse resources -> Use of vice chairs and recorders
• Full remote evaluation (100% remote consensus) and only panels in Brussels
• Two-stage evaluation (first stage will be done only in remote without panels) and for the second stage a normal evaluation process can be applied
First-stage proposals submission

- A 'short outline proposal', full consortia listed
- Evaluation of the criteria excellence and impact only, threshold for both criteria is 4;
- Additional overall budget threshold applied (total requested budget of proposals admitted to stage 2 is as close as possible to three times the available budget)

Second-stage proposals submission

- Invitation to submit a 'full proposal'
- Deadline ~ 3 months after information
- TTG of 8 months counts from the 2-stage submission deadline
- The 'full proposal' must be consistent with the short outline proposal and may NOT differ substantially
SC6 – 2016 Calls

Number of Participations in selected projects (21)

213 participants (incl. doubles)

Member States - 27:
- 193 participations (90%)

Associated Countries - 6:
- 16 participations (8%)

Third Countries - 4:
- 4 participations (2%)

Participations per call:
- CO-CREATION: 19 (9%)
- CULT-COOP: 57 (27%)
- REV-INEQUAL: 137 (64%)
German participants (12)

- **REV-INEQUAL**
  - Bamberg
  - Berlin 2x
  - Cologne
  - Dortmund
  - Düsseldorf
  - Leipzig
  - Mainz
  - Siegen

- **CO-CREATION**
  - Mannheim 2x

- **CULT-COOP**
  - Berlin
Thank you!